

AC – 20/04/2024
Item No. – 7.7 Sem. II (7a)

As Per NEP 2020

University of Mumbai



Syllabus for Basket of OE	
Board of Studies in B.Com Financial Market	
UG First Year Programme	
Semester	II
Title of Paper	Credits
I) Basics of Financial Services	Credit 2
II)	
III)	
From the Academic Year	2024-25

Sr. No.	Heading	Particulars
1	Description the course : Including but Not limited to :	The course aims to help learners understand various types of financial intermediaries and financial services for business enterprises and retail customers in India. To become familiar with the structure and functioning of financial services sector in India.
2	Vertical :	Open Elective (3)
3	Type :	Theory
4	Credit:	2 credits (1 credit = 15 Hours for Theory)
5	Hours Allotted :	30 Hours
6	Marks Allotted:	50 Marks
7	Course Objectives: (List some of the course objectives) The course aims to help learners understand various types of financial intermediaries and financial services for business enterprises and retail customers in India. To become familiar with the structure and functioning of financial services sector in India.	
8	Course Outcomes: (List some of the course outcomes) Understand, classify and describe the fund-based and fee based financial services offered by financial intermediaries and Recall and articulate the role of intermediaries in the stock market. Understand and describe the types and mechanism of startup finance, leasing and hire purchase. Understand, remember and compare the features, types and mechanism of retail finance products offered by financial intermediaries.	
9	Modules:- Per credit One module can be created Module 1: FINANCIAL SERVICES AND CAPITAL MARKET INTERMEDIARIES Financial services - Concept, objectives, functions, classification, challenges, innovations, reasons for growth; Issue management intermediaries - merchant banks, underwriter, banker to issue, brokers to issue; Credit Rating - meaning, objectives, types, advantages, limitations, process, rating symbols. Module 2 FINANCIAL SERVICES FOR BUSINESS ENTERPRISES Description: Start up financing - Concept and Process of Angel funding, Crowd funding, Boot strapping; Venture capital - Features, Types, Stages, Investment process, Disinvestment mechanism; Leasing - Meaning, Types, Contents of Leasing Agreement, Advantages and Disadvantages, Leasing in India; Hire Purchase Finance - concept, Features, Legal aspects, Hire Purchase and Installment Sale.	

10	<p>Text Books: Bharti V Pathak, The Indian Financial system – Market, Institutions and Services, Pearson. S. Guruswamy: Financial Services and Markets, Thomson Publishing House Gordon, E., & Natarajan, K. (2016). Financial Markets and Services. Himalaya Publishing House</p>
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11	<p>Reference Books: M.Y.Khan: “Financial Services”, TATA McGraw Hill; Dr S Guruswamy, Essentials of financial services, Tata McGraw-Hill Publishion Merchant Banking, NISM, Taxmann</p>	
12	Internal Continuous Assessment: 40%	External, Semester End Examination Individual Passing in Internal and External Examination : 60%
13	<p>Continuous Evaluation through: Quizzes, Class Tests, presentation, project, role play, creative writing, assignment etc.(at least 3)</p>	Class Test, Assignment, Presentation
14	<p>Format of Question Paper: for the final examination There shall be Three questions each of 10 marks. All questions shall be compulsory with internal choice within the questions. Question may be subdivided into sub-questions a, b, c... and the allocation of marks depends on the weightage of the topic.</p>	

Sign of the BOS
Prin. Dr. Vishnu N.
Yadav
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Financial Market

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