

As Per NEP 2020

University of Mumbai



Syllabus for Basket of OE	
Board of Studies in B.Com Financial Market	
UG First Year Programme	
Semester	I
Title of Paper	Credits
I) Introduction To Financial Markets	Credit 2
II)	
III)	
From the Academic Year	2024-25

Sr. No.	Heading	Particulars
1	Description the course : Including but Not limited to :	This course aims to students about the fundamental concepts and functions of financial markets. Students will gain an understanding of various financial instruments, market participants, and the role of financial markets in the economy.
2	Vertical :	Open Elective (3)
3	Type :	Theory
4	Credit:	2 credits (1 credit = 15 Hours for Theory)
5	Hours Allotted :	30 Hours
6	Marks Allotted:	50 Marks
7	Course Objectives: (List some of the course objectives) This course introduces students to the fundamental concepts and functions of financial markets. Students will gain an understanding of various financial instruments, market participants, and the role of financial markets in the economy.	
8	Course Outcomes: (List some of the course outcomes) Understand the fundamental concepts and functions of financial markets. Identify the different types of financial instruments and participants Evaluate the impact of financial markets in the economy	
9	<p>Modules:- Per credit One module can be created</p> <p>Module 1: INTRODUCTION TO FINANCIAL MARKETS</p> <p>Overview of Financial Markets- Types of financial markets- capital market, Money market, forex market, derivatives market-functions of financial market- role of financial markets in the economic development of the country</p> <p>Module 2 FINANCIAL MARKET PARTICIPANTS &REGULATORY BODY</p> <p>Role of Investors, Issuers, Intermediaries, and regulators-Types of investors: retail investors. Institutional investors-Introduction to stocks and bonds-types of stocks and bonds, Regulatory bodies and their functions – market manipulation and insider trading- role of regulations in maintaining market integrity-</p>	

10	Text Books: Bharti V Pathak, The Indian Financial system – Market, Institutions and Services, Pearson. S. Guruswamy: Financial Services and Markets, Thomson Publishing House Gordon, E., & Natarajan, K. (2016). Financial Markets and Services. Himalaya Publishing House	
11	Reference Books: M.Y.Khan: “Financial Services”, TATA McGraw Hill; Dr S Guruswamy, Essentials of financial services, Tata McGraw-Hill Publishion Merchant Banking, NISM, Taxmann	
12	Internal Continuous Assessment: 40%	External, Semester End Examination Individual Passing in Internal and External Examination : 60%
13	Continuous Evaluation through: Quizzes, Class Tests, presentation, project, role play, creative writing, assignment etc.(at least 3)	Class Test, Assignment, Presentation
14	Format of Question Paper: for the final examination There shall be Three questions each of 10 marks. All questions shall be compulsory with internal choice within the questions. Question may be subdivided into sub-questions a, b, c... and the allocation of marks depends on the weightage of the topic.	

Sign of the BOS
Prin. Dr. Vishnu N.
Yadav
Board of Studies in
Financial Market

Sign of the
Offg. Associate Dean
Dr. Ravikant Balkrishna
Sangurde
Faculty of Commerce

Sign of the
Offg. Associate Dean
Prin. Kishori Bhagat
Faculty of
Management

Sign of the
Offg. Dean
Prof. Kavita Laghate
Faculty of Commerce
& Management