

UNIVERSITY OF MUMBAI
No.UG/ 441 of 2005

CIRCULAR:-

A reference is invited to this office Circular No.UG/70 of 2005 relating to new Ordinances No.5473,5474, 5475,5476, 5477, 5478, 5479, 5480 5481 and 5482 for Ph.D. degree course in various subject and Directors/Heads of the University Departments, Deans/Principals of the affiliated colleges in Arts, Science Commerce, Law, Engineering, Technology, Pharmaceutical Sciences, Architecture, Medicine, Dentistry, Unani Medicine, Ayurved, Applied Art, Fine Art, Painting Dance and Heads of recognized Institutions enrolling the students for Ph.D. degree course in various subjects are hereby informed that the Vice-Chancellor has accepted the report of the committee appointed by him in terms of resolution passed by the Academic Council at its meeting held on 6th August,2005 (Vide Item No.6.1) for reviewing provisions of Ordinances relating to Ph.D. degree Course in various subjects in exercise of the powers conferred upon him under Section 14(7) of Maharashtra Universities Act, 1994 and in accordance with that the provisions of Ordinances of 5473, 5474, 5476, 5478, 5480, and 5482 relating to Ph.D. degree course have been amended as under :-

O.5473(I) : The Degree of Doctor of Philosophy may be conferred upon candidates under various faculties in various subjects, except in the subjects of Education and Management Studies in the Faculty of Arts and in the subjects of Occupational Therapy, Physical Therapy and Audiology and Speech Language Pathology, in the Faculty of Medicine provided that for the purpose of admission and registration they satisfy the following conditions:-

1. The candidate must have passed the Master's Degree examination in the faculties of Arts or Science or Commerce or Technology or Medicine or Ayurveda or Law or Fine Arts or Unani Medicine or this University or of any other University recognised by this University as equivalent thereto with minimum of fifty-five percent marks and fifty percent marks in the case of Reserved Category.

OR

2. The candidate must have passed Master's Degree by Research of this University or of any other University recognised by this University as equivalent thereto.

OR

The candidate must have passed M.Phil degree examination of this University or of any other University recognised by the University as equivalent thereto.

OR

3. The candidate is a teacher having full time teaching experience of at least two years in Degree College or five years in Junior College.

OR

4. The candidate is a person who is a graduate of this or any other recognized university and is working in National Laboratories/ Institutes/Government/Private Organisation, nominated/ sponsored by the respective employer on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

OR

5. The candidate is qualified in N.E.T/S.E.T/GATE/IRF examination of the apex bodies in higher/technical/ professional education such as CSIR/UGC/ICAR or other equivalent examination.

6. The candidate must have passed the Bachelor's Degree examination in the faculties of Arts or Science or Commerce or Technology or Medicine or Ayurveda or Law or Fine Arts or Urami Medicine of this University or of any other University recognised by this University as equivalent thereto on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

Provided however, that for the purpose of registration for the Ph.D. degree in Faculty of Arts in Education a candidate must satisfy the following conditions :

1. The candidate must have passed the Master's Degree examination in Education of this University or of any other University recognised as equivalent thereto and obtained minimum of fifty-five percent marks and fifty percent marks in the case of Reserved Category.

OR

2. The candidate must have passed Master's Degree by Research in Education of this University or of any other University recognised as equivalent thereto.

OR

The candidate must have passed the M.Phil. degree examination in Education of this University or of any other University recognised as equivalent thereto.

OR

3. The candidate is a teacher having full time teaching experience of at least two years in Degree College or five years in Junior College.

OR

4. The candidate is a person who is a graduate of this or any other recognized university and is working in National Laboratories/ Institutes/Government/Private Organisation, nominated/ sponsored by the respective employer on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

OR

5. The candidate is qualified in NET/SET/GATE/JRF examination of the apex bodies in higher/technical/ professional education such as CSIR / UGC / ICAR or other equivalent examination.

OR

6. The candidate must have passed the Bachelor's Degree examination in Education of this University or of any other University recognised by this University as equivalent thereto on a condition on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

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Provided further that for the purpose of registration for the Ph.D. degree course in Occupational Therapy, Physical Therapy, Audiology and Speech Language pathology, a candidate must satisfy the following conditions :

1. The candidate must have passed the Master's Degree examination in the respective subject of this University or of any other University recognised as equivalent thereto with minimum of fifty-five percent marks and fifty percent marks in the case of Reserved Category.

OR

2. The candidate must have passed the Master's Degree (by Research) in the respective subject of this University or of any other University recognised as equivalent thereto.

OR

3. The candidate is a teacher having full time teaching experience of at least two years in Degree College or five years in Junior College.

OR

4. The candidate is a person who is a graduate of this or any other recognized university and is working in National Laboratories/ Institutes/Government/Private Organisation, nominated/ sponsored by the respective employer on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

OR

5. The candidate is qualified in NET/ SET / GATE / JRF examination of the apex bodies in higher / technical / professional education such as CSIR / UGC / ICAR or other equivalent examination.

OR

6. The candidate must have passed the Bachelor's Degree examination in respective subject of this University or of any other University recognised by this University as equivalent thereto on a condition on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

Provided further that for the purpose of registration for the Ph.D. degree in the Faculty of Arts in Management Studies a candidate must satisfy the following conditions :

1. The candidate must have passed the Master's Degree examination of any faculty of this University or of any other University recognised as equivalent thereto with minimum of fifty-five percent marks and fifty percent marks in the case of Reserved Category.

OR

The Master's Degree (by Research) of



OR

The candidate must have passed the M.Phil degree examination of this University or of any other University recognised as equivalent thereto.

OR

3. The candidate is a teacher having full time teaching experience of at least two years in Degree College or five years in Junior College.

OR

4. The candidate is a person who is a graduate of this or any other recognized university and is working in National Laboratories/ Institutes/Government/Private Organisation, nominated/ sponsored by the respective employer on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

OR

5. The candidate is qualified in NET/ SET / GATE / JRF examination of the apex bodies in higher / technical / professional education such as CSIR / UGC / ICAR or other equivalent examination.

OR

6. The candidate must have passed the Bachelor's Degree examination of any faculty of this University or of any other University recognised by this University as equivalent thereto on a condition on the condition that he/she shall clear an Aptitude Test conducted as per ordinance 5473(II).

EXPLANATION :

- i) The candidate is eligible to register for the Ph.D. degree in any subject under the faculty from which he/she has passed the examination except in the subject of Education in the faculty of Arts and Occupational Therapy, Physical Therapy and Audiology and Speech Language Pathology, in the faculty of Medicine.
- ii) The faculty of the teacher will be determined on the basis of the faculty under which his/her post of teacher is approved by the appropriate authority, except in the subject of Education in the faculty of Arts and Occupational Therapy, Physical Therapy and Audiology and Speech Language Pathology, in the faculty of Medicine.
- iii) The faculty of the employees who are nominated/sponsored by the National Laboratory/Institutes/ Government/Private Organization will be determined on the basis of faculty of qualification on which he/she is nominated/ sponsored by the National Laboratory/ Institutes/ Government/ Private Organization, except in the subject of Education in the faculty of Arts and Occupational Therapy, Physical Therapy and Audiology and Speech Language Pathology, in the faculty of Medicine.

- iv) The faculty of the person qualified in NET/SET/GATE/JRF examinations of the apex bodies in higher Technical/Professional Educational such as CSIR, UGC, ICAR or other equivalent examination will be determined on the basis of which he/she appeared for and passed the said examination, except in the subject of Education in the faculty of Arts and Occupational Therapy, Physical Therapy and Audiology and Speech Language Pathology, in the faculty of Medicine.
- v) The candidate desirous of registering for the Ph.D. degree in Education but not satisfying the conditions laid down under O.5473 will be required to follow the procedure laid down under O.5476 relating to change of faculty.
- vi) The candidate desirous of registering for the Ph.D. degree in Occupational Therapy, Physical Therapy and Audiology Speech Language Pathology, but not satisfying the conditions laid down under O.5473 will be required to follow the procedure laid down under O.5476 relating to change of faculty.
- vii) In case of candidates who have qualified in NET/SET/GATE/JRF examinations of the apex bodies in higher Technical/Professional Educational such as CSIR, UGC, ICAR or other equivalent examination, validation period will not be applicable.
- viii) Percentage of marks will be calculated on the basis of total marks mentioned in the statement of marks issued to the candidate by the University, even if the candidate has passed the said examination in compartments.
- ix) A candidate who has passed the Master's degree partly by Papers and partly by Research with fifty-five percent marks and fifty percent marks in case of Reserved Category in semesters I and II taken together and has obtained clearing grade in Thesis (wherever awarded) will be deemed to have obtained sufficient percentage marks for being eligible for registration for the Ph.D. degree.
- x) A candidate who has passed M.D. or M.S. or D.M. or M.Ch. examination of this University will be considered eligible for registration for the Ph.D. degree.

ID) Aptitude Test : Candidates who desire to pursue the Ph.D. degree course under various faculties and various subjects and who are to be registered as per ordinance 5473(I) 4 or 6 (i.e., those who are candidates with Bachelor's degree and /or sponsored by National Laboratories etc.) shall clear an Aptitude test conducted by the concerned department of the University and if there is no University department in a particular subject, the Vice-Chancellor shall decide appropriate modality to conduct the Aptitude test.

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- (10) The eligible candidate, after applying, shall be given provisional admission only after undergoing centralized interview by a panel of the College Institute/University Department as the case may be.

Final registration shall be done in the University after following necessary procedure and submitting the proposal.

- (11) The research student must submit through the guide and the Head of the concerned institution through which he/she has registered a progress report of the work at the end of every year, which shall be assessed by the Research and Recognition Committee of the respective Board/Ad-hoc Board/Committee.

- (12) In case of a dispute between a candidate and his guide, the committee consisting of the following shall examine the matter and report to the Vice-Chancellor, whose decision shall be final :

1. A member of the concerned BUTR appointed by the Vice-Chancellor who will be the convener of the committee.
2. A member of the concerned R & R Committee appointed by the Dean.
3. The Head of the concerned institution through which he/she has registered (If the complaint is against the Head, he/she shall not participate in the proceedings of the meeting)

The report shall include specific recommendations.

- (13) Every research student will preferably have to reside within the territorial jurisdiction of the University of Mumbai from the date of his/her registration till the submission of the thesis.

- 474: The candidate for Ph.D. degree must have worked for a minimum period of two years (24 months) from the date of registration except in case of candidates registered under O.5473(I) 4 or 6, for whom the minimum period shall be three years (36 months).

- 476: Where a candidate desires to register for the Ph.D. degree in a Faculty different from the one in which he/she obtained his/her Master's degree, he/she must satisfy the conditions of O.5473.

He/she shall submit an application to the Controller of Examinations along with the prescribed processing fee for permission to do so through the recognized guide under whom he/she proposes to work and the Head of the concerned institution through which he/she desires to be registered. The candidate shall also submit a relevant proposal with this application. The Research and Recognition Committee of the respective Board/Ad-Hoc Board/Committee will recommend the names of two experts to scrutinize the proposal.