

As Per NEP 2020

University of Mumbai



Syllabus for Basket of OE Vertical 3

Faculty of Commerce and Management

Board of Studies in Investment Management

Second Year Programme

Semester

IV

Title of Paper

Credits

Equity Market

2

From the Academic Year

2025-26

Title of Paper: EQUITY MARKET

Sr. No.	Heading	Particulars
1	Description the course : Including but Not limited to :	The equity market is a fundamental component of global financial markets, enabling businesses to raise capital and investors to build wealth. Understanding equity markets is crucial for investment professionals, as stock price movements influence economic growth, corporate finance, and portfolio management. This course provides foundational knowledge on equity market operations, valuation techniques, and investment strategies.
2	Vertical :	Open Elective
3	Type :	Theory
4	Credit:	2 credits
5	Hours Allotted :	30 Hours
6	Marks Allotted:	50 Marks
7	Course Objectives: By the end of the course, students will be able to: 1. Understand the structure and functioning of equity markets and their key participants. 2. Analyze stock valuation methods and financial statements. 3. Evaluate risk factors associated with equity investments. 4. Explore various equity trading and investment strategies. 5. Understand regulatory frameworks governing stock markets.	
8	Course Outcomes: Upon completing this course, students will: 1. Gain a strong understanding of equity market mechanisms and instruments. 2. Develop analytical skills for evaluating stocks and market trends. 3. Apply investment strategies for equity portfolio management. 4. Assess risk and return trade-offs in stock investments. 5. Be prepared for careers in investment banking, stock trading, and financial analysis.	

9	Modules:-
	Module 1: Fundamentals of Equity Markets
	Unit 1: Introduction to Equity Markets • Overview of Stock Markets and Exchanges • Primary vs. Secondary Markets • Types of Stocks (Common, Preferred, Blue-Chip, Growth, Value, etc.) • Role of SEBI, Stock Exchanges (NSE, BSE), and Global Markets Unit 2: Stock Valuation and Analysis • Fundamental Analysis: Financial Statements, Ratios, and Earnings Reports • Technical Analysis: Chart Patterns, Indicators, and Market Trends • Stock Pricing Models: Dividend Discount Model (DDM), Price-to-Earnings (P/E) Ratio • Market Efficiency and Behavioral Finance
	Module 2: Equity Market Strategies and Risks
	Unit 3: Investment Strategies in Equity Markets • Long-Term vs. Short-Term Investing • Value Investing, Growth Investing, and Momentum Trading • Index Investing and ETFs • Trading Mechanisms: Intraday, Swing Trading, and Algorithmic Trading Unit 4: Risk Management and Market Regulations • Market Risks: Systematic vs. Unsystematic Risk • Portfolio Diversification Strategies • Insider Trading and Market Manipulation Regulations • Global Equity Market Trends and Innovations
10	Reference Books: 1. Fundamentals of Equity Trading and Investments – Rajesh Chakrabarti 2. The Thoughtful Investor – Basant Maheshwari 3. Stock Markets and Investments: A Complete Guide to Equities – Sunil Kapadia 4. Indian Stock Market: An Introduction – Rachna Singh 5. How to Avoid Loss and Earn Consistently in the Stock Market – Prasenjit Paul

11	Internal Continuous Assessment: 40%	External, Semester End Examination 60% Individual Passing in Internal and External Examination
12	Continuous Evaluation through:	Refer annexure :A
13	Format of Question Paper: Refer annexure :B	

QUESTION PAPER PATTERN

(External and Internal)

The Internal continuous Assessment should be conducted after completing 20% of Syllabus of the course. All Assessment activities to be recorded and spread across semester

ANNEXTURE: A

Individual faculty member shall have the flexibility to design the continuous assessment for each course/s in a manner so as to evaluate students' capabilities across knowledge, skills and attitudes. Internal Assessment may be undertaken through any or combination of the methods stated below after obtaining due permission of Principal and remain same across that particular course and semester. Introduction of multiple activities among groups of students in same class may be encouraged for better exposure:

- **Class Test (Mandatory) with Objective questions** Class Test during the lectures (physical/online mode)
MCQs/Match the pairs/Answer in one sentence etc.

Any two of following for each course & may be similar or different for different group of students in a class

- Essays / Tutorials
- Home assignments
- Library notes based on published research papers
- Report writings
- Practical Projects/ Practical activities /Group projects
- Reflective Practical assignments / Industry work / Field work
- Drawing Portfolios
- Oral examination
- Student's Seminar / Workshop / Exhibition
- Reviews / PPT presentation
- Problem solving Exercises
- Laboratory/Library Work
- Book reviews
- Case Study analysis
- Podcast/Blog writing /Video making e.g., Tips to become successful investor/satisfied customer,
company profile, successful entrepreneur etc.

ANNEXTURE: B**Question Paper Pattern****Credit: 02 (Total 50 Marks)****External = 30 Marks****Duration: 1 Hr.**

Student has to attempt any two questions out of three.

Q.1	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.2	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.3	Answer the following(Theory/Practical Questions) A,B	15 Marks

Credit: 04 (Total 100 Marks)**External =60 Marks****Duration: 2 Hrs.**

Student has to attempt any four questions out of six.

Q.1	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.2	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.3	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.4	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.5	Answer the following(Theory/Practical Questions) A,B	15 Marks
Q.6	Answer the following(Theory/Practical Questions) A,B	15 Marks

Note

1. The Semester End Assessment should be conducted after completing 100% of syllabus of the course/s
2. The question papers shall be framed so as to ensure that no part of the syllabus is left out of study by a student.
3. The question paper shall be balanced in respect of various topics outlined in the syllabus.
4. Equal Weightage is to be given to all the modules
5. All questions shall carry equal marks with internal choice within the question
6. 15 marks question must be subdivided into 8 marks + 7 marks, 10 marks + 5 marks and 5 marks + 5 marks + 5 marks. Internal options may be given wherever necessary.
7. Use of simple calculator is allowed in the examination.
8. Wherever possible more importance is to be given to the practical problems/case study.

Guidelines for Case Study Analysis

1. Use the representation of real situations; avoid presenting situations that do not exist/ are not plausible in real life
2. Make sure that the description of the case is as clear and specific as possible
3. Make sure there is sufficient information on the content and the context of the case ask students interpretative and application questions
4. Questions need to be devised to assess the interpretation and application of knowledge, comprehension skills, and critical thinking skills
5. Devise clear and unambiguous questions to limit student confusion and time spent interpreting the question like suggest suitable title, offers a summary, explaining the scenario in detail, Problem/ issue presented in the scenario, suggest Solution/chosen course of action etc.
6. Maximum words for case study (Approx. 500-700 words) and followed by number of questions will depend on marks (3/4 marks each).

Passing Standard

Credit: 02 (Total 50 Marks)

The learners to pass a course shall have to obtain a minimum of 40% marks in aggregate for each course where the course consists of Internal Assessment and Semester EndExamination. The learners shall obtain minimum of 40% marks (i.e., 08 out of 20) in the Internal Assessment and 40% marks in Semester End Examination (i.e., 12 Out of 30) separately, to pass the course and minimum of Grade E to pass a particular semester A learner will be said to have passed the course if the learner passes separately the Internal Assessment as well as Semester End Examination.

Credit: 04 (Total 100 Marks)

The learners to pass a course shall have to obtain a minimum of 40% marks in aggregate for each course where the course consists of Internal Assessment and Semester EndExamination. The learners shall obtain minimum of 40% marks (i.e., 16 out of 40) in the Internal Assessment and 40% marks in Semester End Examination (i.e., 24 Out of 60) separately, to pass the course and minimum of Grade E to pass a particular semester A learner will be said to have passed the course if the learner passes separately the Internal Assessment as well as Semester End Examination.

Letter Grades and Grade Points:

Semester GPA/ Programme CGPA Semester/ Programme	% of Marks	Alpha-Sign/ Letter Grade Result	Grading Point
9.00 - 10.00	90.0 - 100	O (Outstanding)	10
8.00 - < 9.00	80.0 - < 90.0	A+ (Excellent)	9
7.00 - < 8.00	70.0 - < 80.0	A (Very Good)	8
6.00 - < 7.00	60.0 - < 70.0	B+ (Good)	7
5.50 - < 6.00	55.0 - < 60.0	B (Above Average)	6
5.00 - < 5.50	50.0 - < 55.0	C (Average)	5
4.00 - < 5.00	40.0 - < 50.0	P (Pass)	4
Below 4.00	Below 40.0	F (Fail)	0
Ab (Absent)	-	Ab (Absent)	0

**Sign of the BOS
Chairman
Dr. Sunil Karve
Board of Studies
in BBI & BIM**

**Sign of the
Offg. Associate Dean
Prin. Kishori Bhagat
Faculty of Commerce
& Management**

**Sign of the
Offg. Associate Dean
Prof. Kavita Laghate
Faculty of Commerce
& Management**

**Sign of the
Offg. Dean
Prin. Ravindra Bambardekar
Faculty of Commerce &
Management**