

University of Mumbai



No. Aff./ICC/ (2022-23)/ 15/ of 2022

परिपत्रक:

विषय:— विद्यापीठ अनुदान आयोग, नवी दिल्ली तर्फे प्रसिध्द करण्यात आलेल्या " Draft University Grants Commission (Institutions Deemed to be Universities) Regulations, 2022" Draft Guidelines याबाबत अभिप्राय/सूचना मागविणेबाबत.

संदर्भ:— डॉ. प्रकाश बच्छाव, शिक्षण सहसंचालक (उच्च शिक्षण) महाराष्ट्र राज्य, पुणे—१ यांचे पत्र क्र. युएनआय / (११/२२)/ विशि—१, दि. १४ नोव्हेंबर, २०२२.

उपरोक्त संदर्भित विषयान्वये मुंबई विद्यापीठाशी संलग्नीत सर्व शासकिय, अशासकिय, अनुदानित, विनाअनुदानित महाविद्यालयांचे प्राचार्य, संस्थाचे संचालक तसेच मुंबई विद्यापीठाच्या विविध विभागांचे विभागप्रमुख आणि संचालक यांना या परिपत्रकाद्वारे कळविण्यात येते की, विद्यापीठ अनुदान आयोगाच्या पब्लिक नोटीस नुसार कळविण्यात येते की, अभिमत विद्यापीठांच्या नियमनाबाबतचा ड्राफ्ट विद्यापीठ अनुदान आयोगाच्या संकेतस्थळावर प्रसिध्द करण्यात आला असून या ड्राफ्टमध्ये काही सुधारणा/बदल आवश्यक असतील तर त्या संदर्भात विद्यापीठ अनुदान आयोगाने संबंधित घटकांकडून अभिप्राय व सूचना मागविलेल्या आहेत.

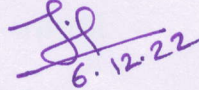
तरी सर्व संबंधितांनी सदर ड्राफ्टचा अभ्यास करून आपले अभिप्राय व सूचना विद्यापीठ अनुदान आयोगाच्या dtbu2022@gmail.com या ईमेलवर दिनांक १८ नोव्हेंबर, २०२२ पर्यंत पाठविण्याबाबत कळविले आहे.

तरी आपले अभिप्राय/सूचना सर्व संबंधित घटकांनी उपरोक्त ईमेलवर पाठविण्याबाबतची कार्यवाही करावी. सोबत विद्यापीठ अनुदान आयोगाच्या ड्राफ्टची प्रत जोडली आहे.

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उपरोक्त बाबतीत मुंबई विद्यापीठाशी संलग्नीत सर्व शासकिय, अशासकिय, अनुदानित, विनाअनुदानित, कायम विनाअनुदानित पदव्युत्तर पदवी, पदवी, पदविका महाविद्यालयांला विनंती करण्यात येते की, संदर्भाधीन परिपत्रकातील सूचनांचे पालन करण्यात यावे.

मुंबई—४०० ०३२.
१६ नोव्हेंबर, २०२२


(प्रो. सुनिल भिरूड)
कुलसचिव (प्र)

मुंबई विद्यापीठाशी संलग्नीत सर्व शासकिय, अशासकिय, अनुदानित, विनाअनुदानित महाविद्यालयांचे प्राचार्य, संस्थाचे संचालक तसेच मुंबई विद्यापीठाच्या विविध विभागांचे विभागप्रमुख आणि संचालक.



महाराष्ट्र शासन
उच्च शिक्षण संचालनालय
मध्यवर्ती इमारत, महाराष्ट्र राज्य, पुणे-४११ ००१

Web : www.dhepune.gov.in

E-vishi.dhepune@nic.in

फोन नं. ०२०/२६१२२११९, २६०५१५१२, २६१३०६२७, २६१२४६३९

क्रमांक : युएनआय/(११/२२)/विशि-१ 193487

14 NOV 2022

प्रति,

कुलसचिव, सर्व अकृषि विद्यापीठे
कुलसचिव, सर्व अनुदानित अभिमत विद्यापीठे
सर्व विभागीय सहसंचालक, उच्च शिक्षण, महाराष्ट्र राज्य.

विषय: विद्यापीठ अनुदान आयोग, नवी दिल्ली तर्फे प्रसिध्द करण्यात आलेल्या "Draft University Grants Commission (Institutions Deemed to be Universities) Regulations, 2022" Draft Guidelines याबाबत अभिप्राय/सूचना मागविणेबाबत.

संदर्भ: विद्यापीठ अनुदान आयोगाची पब्लिक नोटीस क्रमांक F.१-१/२०२२(CPP-1/DU) दि.४ नोव्हेंबर, २०२२

विद्यापीठ अनुदान आयोगाच्या पब्लिक नोटीस नुसार कळविण्यात येते की, अभिमत विद्यापीठांच्या नियमनाबाबतचा ड्राफ्ट विद्यापीठ अनुदान आयोगाच्या संकेतस्थळावर प्रसिध्द करण्यात आला असून या ड्राफ्टमध्ये काही सुधारणा/बदल आवश्यक असतील तर त्या संदर्भात विद्यापीठ अनुदान आयोगाने संबंधित घटकांकडून अभिप्राय व सूचना मागविलेल्या आहेत.

तरी सर्व संबंधितांनी सदर ड्राफ्टचा अभ्यास करून आपले अभिप्राय व सूचना विद्यापीठ अनुदान आयोगाच्या dtbu२०२२@gmail.com या ई मेलवर दि.१८ नोव्हेंबर, २०२२ पर्यंत पाठविण्याबाबत कळविलेले आहे.

तरी आपले अभिप्राय/सूचना सर्व संबंधित घटकांनी उपरोक्त ईमेलवर पाठविण्याबाबतची कार्यवाही करावी. [तसे आपल्या अधिनस्थ सर्व महाविद्यालयांना याबाबत आपल्या स्तरावरून कळविण्यात यावे.] सोबत विद्यापीठ अनुदान आयोगाच्या ड्राफ्टची प्रत जोडली आहे.

DR (CAP)
— [Signature]
JLC Registration

(डॉ. प्रकाश बच्छाव)
शिक्षण सहसंचालक(उच्च शिक्षण)
महाराष्ट्र राज्य, पुणे-१

प्रत- मा.प्रधान सचिव, उच्च व तंत्र शिक्षण विभाग, मंत्रालय, विस्तार भवन, मुंबई -३२ यांना माहितीस्तव सादर



सचिव

Secretary



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग
University Grants Commission

(शिक्षा विभाग, भारत सरकार)
(Ministry of Education, Govt. of India)

बहादुरशाह जफर मार्ग, नई दिल्ली-110002
Bahadur Shoh Zafar Marg, New Delhi-110002

Ph.: 011-23236288/23239337

Fax : 011-2323 8858

E-mail : secy.ugc@nic.in

No. F. 1-1/2022 (CPP-I/DU)

04th November, 2022

PUBLIC NOTICE

Invitation of comments/suggestions on

**Draft University Grants Commission (Institutions Deemed to be Universities)
Regulations, 2022**

The University Grants Commission had notified University Grants Commission (Institutions Deemed to be Universities) Regulations, 2019 in the Gazette of India on 20th February, 2019. These Regulations were notified to regulate, in an orderly manner, the process of declaration of institutions of academic excellence as Deemed to be Universities; and, further to maintain quality of higher education imparted by Institutions Deemed to be Universities consistent with the ideals of the concept of a University.

The higher education in the country is going through major transformation with the advent of National Education Policy (NEP), 2020. UGC has reframed/modified the University Grants Commission (Institutions Deemed to be Universities) Regulations, 2019 to make them in tune with the broader policies of higher education as contained in the NEP, 2020, with the help of an Expert Committee.

The Draft University Grants Commission (Institutions Deemed to be Universities) Regulations, 2022 are attached herewith for comments/suggestions from all stakeholders. The comments/suggestions may be sent to UGC on dtbu2022@gmail.com up to 18th November, 2022.

(P.K. Thakur)

UNIVERSITY GRANTS COMMISSION

NOTIFICATION

New Delhi, the, 2022

UGC (Institutions Deemed to be Universities) Regulations, 2022

Preamble

No. F. 1-1/2022 (CPP-I/DU) - To regulate, in an orderly manner, the process of declaration of institutions of academic excellence as Deemed to be Universities; and, further to maintain the quality of higher education imparted by Institutions Deemed to be Universities consistent with the ideals of the concept of a University; the University Grants Commission, in the exercise of powers conferred under clauses [f] & [g] of subsection [1] of Section 26 of the University Grants Commission Act, 1956, hereby, makes the following Regulations namely:-

1.0. Short Title, Application, and Commencement

- 1.1 These Regulations may be called the UGC (Institutions Deemed to be Universities) Regulations, 2022.
- 1.2 These Regulations shall apply to every institution seeking a declaration as an Institution Deemed to be University, as also, albeit prospectively, to an institution which has been declared as an Institution Deemed to be University under Section 3 of the UGC Act, 1956. However, clauses 4.01, 5.01, 5.02, 5.03 and 6 shall not be applicable to the Institutions already declared as deemed to be Universities.
- 1.3 These Regulations shall come into force with effect from the date of their Notification in the *Official Gazette*. Provided, however, that Institutions Deemed to be Universities notified prior to the date of these regulations shall comply with them and amend their Memorandum of Association/Rules (MoA/Rules) within one year of the Notification of these Regulations.
- 1.4 These regulations shall replace the UGC (Institutions Deemed to be Universities) Regulations, 2019.

2.0. Definitions

In these Regulations, unless the context otherwise requires:

- 2.01 "Academic Council" means the Academic Council of the Institution Deemed to be University.
- 2.02 "Act" means the University Grants Commission Act, 1956 (Act 3 of 1956).
- 2.03 "Board of Studies" means the Board of Studies of a Department of the Institution Deemed to be University.
- 2.04 "Campus" means campuses (single or multiple locations) including the main campus of the Institution Deemed to be University wherein its major facilities, faculty, staff, students, Academic Departments and administration are situated.
- 2.05 "Category" means the category of Institution Deemed to be University under the University Grants Commission (Categorization of Universities (only) for the Grant of Graded Autonomy) Regulations, 2018; and "Category I" and "Category II" shall be construed accordingly.
- 2.06 "Centre" means a Centre of Studies of the Institution Deemed to be University.

- 2.07 "Chancellor", "Vice-Chancellor" and "Pro-Vice-Chancellor" means, respectively, the Chancellor, Vice-Chancellor and Pro-Vice-Chancellor of the Institution Deemed to be University.
- 2.08 "Commission" means the University Grants Commission (UGC) constituted under the Act.
- 2.09 "Constituent Unit" means unit(s) of the Institution existing on the date of submission of proposal to be declared as an Institution Deemed to be University.
- 2.10 "Department" means a Department of Studies of the Institution Deemed to be University.
- 2.11 "Distinct Institution" means either an existing institution or an institution starting from the beginning with the focus on teaching and/or research in unique discipline(s) and/or addressing the strategic needs of the country or engaged in the preservation of Indian cultural heritage or preservation of environment or dedicated to Skill Development or dedicated to Sports or languages or any other discipline(s), so determined by the Expert Committee of Commission.
- 2.12 "Executive Council" means the Executive Council of the Institution Deemed to be University.
- 2.13 "Expert Committee" means a Committee consisting of academics and/or other experts from other fields as the Commission may decide and may include representatives of the statutory bodies.
- 2.14 "Government", unless the context so specifies, means the Ministry of Education in the Central Government, allocated with business pertaining to higher education.
- 2.15 "Institution" means an institution of higher education engaged in teaching and research at the undergraduate, post-graduate or higher levels.
- 2.16 "Institution Deemed to be University" means an institution of higher education so declared, on the advice of the Commission, by the Government under Section 3 of the Act.
- 2.17 "NAAC" means National Assessment and Accreditation Council.
- 2.18 "NBA" means National Board of Accreditation.
- 2.19 "Necessary Infrastructure" means infrastructure as required under the norms of the concerned Statutory Body/Commission.
- 2.20 "NEP" means National Education Policy, 2020.
- 2.21 "NIRF" means National Institutional Ranking Framework.
- 2.22 "Notification" means a notification issued by the Government in the Official Gazette declaring an institution of higher education, as an Institution Deemed to be a University under Section 3 of the Act.
- 2.23 "Off-Campus" means the Centre(s) of the Institution Deemed to be a University other than the main campus.
- 2.24 "Off-Shore Campus" means the Centre(s) of the Institution Deemed to be University outside India other than the main campus in India.
- 2.25 "Processing Fee" means the charges that have to be paid by the applicant institution to the Commission along with the application for processing such application.
- 2.26 "School" means a school of Studies of the Institution Deemed to be a University.

- 2.27 "Sponsoring body" means a body being a charitable or a not-for-profit Society or Trust or Company under Section 8 of the Companies Act 2013 making an application for declaring an institution under its administrative, academic and financial control as a Deemed to be University.
- 2.28 "Statutory body" means a body constituted under any law for the time being in force for determining or maintaining standards of quality in the relevant areas of higher education such as the All India Council for Technical Education (AICTE), Bar Council of India (BCI), Dental Council of India (DCI), Indian Nursing Council (INC), National Council for Teacher Education (NCTE), National Medical Commission (NMC), or any other such statutory body established under an Act of Parliament.
- 2.29 "Teacher" means Professors, Associate Professors, Assistant Professors and such other academic staff as may be appointed for imparting instruction or conducting research in the Institution Deemed to be University such as adjunct faculty/ professor of practice/visiting faculty.
- 2.30 "University" means a University defined in the University Grants Commission Act, 1956.

3.0 Objectives of an Institution Deemed to be University

- 3.01 To provide for higher education leading to excellence and innovations in such branches of knowledge as may be deemed fit, primarily at undergraduate, at post-graduate and research degree levels, fully conforming to the concept of University as defined herein.
- 3.02 To engage in interdisciplinary/ multi-disciplinary/ trans-disciplinary teaching and research in addition to domain-specific specialization.
- 3.03 To provide for high quality teaching and research recognized nationally and globally.
- 3.04 To recognize, identify and foster the unique capabilities of each student, by sensitizing teachers as well as parents to promote each student's holistic development.
- 3.05 To provide multi-disciplinarity and a holistic education across the sciences, engineering, technology, social sciences, arts, humanities, sports and other disciplines.
- 3.06 To transform into Research Intensive University over a period of time.
- 3.07 Focus on research and innovation by setting up start-up incubation centers; technology development centres; centres in frontier areas of research; greater industry-academic linkages; and interdisciplinary research including humanities and social sciences research.
- 3.08 To provide flexible and innovative curricula which include credit-based courses and projects in the areas of community engagement and service, environmental education, value-based education, etc.

4.0. Eligibility Criteria for an Institution to be Declared as Institution Deemed to be University

- 4.01 Eligibility criteria for considering the applications of Institutions to be declared as Institution Deemed to be Universities:-
- (i) A multi-disciplinary Institution (minimum five Departments either UG/PG/Integrated/Research or a combination of these) OR a Cluster of Institutions offering five (UG/PG/Integrated/Research or a combination of these) programmes located in the same city/town.

- (ii) The Institution(s) shall have valid accreditation by NAAC grade with at least 3.01 CGPA for three consecutive cycles provided that the application for accreditation/re-accreditation was submitted by the Institution within the time frame from the previous cycle.

OR

In case of technical institution(s), two-third of eligible technical programmes accredited by the NBA for three consecutive cycles provided that the Institution submitted the application for accreditation/re-accreditation within the time frame from the previous cycle.

OR

should figure among the top 50 (fifty) ranking of NIRF in any specific category for consecutive three years.

OR

among the top 100 (hundred) of overall ranking of NIRF for consecutive three years.

- (iii) Shall possess such academic and physical infrastructure as may be prescribed by the Commission and/or the relevant statutory body.
- (iv) Approval of the relevant statutory body for the professional courses which are currently being run.
- (v) Teacher student ratio of 1:20 with a minimum combined faculty strength of not less than 100 (one hundred) teachers and a minimum combined student strength of 2000 (two thousand) on rolls under the regular class-room mode, of which not less than one third being PG/research students OR as per the norms of the relevant statutory body.
- (vi) Shall have administrative area, library, lecture halls, labs, hostels, health care, common facilities and recreational facilities.

4.02 Government Institutions shall be exempted from the criteria stipulated in clause 4.01 of these Regulations.

4.03 Corpus Fund:

- (i) In the case of Institutions not funded by the Government, a Corpus Fund of Rs. 25 Crore or as decided by the Commission from time to time, shall be created and maintained in the name of the Institution Deemed to be University.
- (ii) The interest accrued on the Corpus Fund shall be used only for the purpose of further development of the Institution Deemed to be University.

4.04 **Governance Structure** - The Governance structure of the Institution Deemed to be Universities shall be as provided in these Regulations.

5.0. Procedure for Declaration of an Institution as an Institution Deemed to be University

- 5.01 An institution, fulfilling the minimum eligibility criteria as laid down in these Regulations for considering proposals for declaration as an Institution Deemed to be University, may apply 'online' to the Commission on its web portal developed for this purpose.

5.02 The following documents shall be uploaded in the online application, failing which, the online system shall not accept the application:

- i. No Objection Certificate (NOC) from the State Government. (Each State/UT Government shall furnish its considered views/NOC, to the applicant sponsoring body, which intends to establish an Institution Deemed to be University within 60 days of the receipt of the request from the applicant sponsoring body. In case, no such views/NoC are received by the applicant sponsoring body within 60 days, it shall be presumed that the State/UT Government have no comments to offer on the request.)
- ii. No Objection Certificate (NOC) from affiliating University in the case of affiliated College. (If the affiliating University does not respond to the request of the applicant Institution within 60 days on UGC application portal, it shall be presumed that the University has no objection to the application.)
- iii. A detailed Project Report (DPR) containing its fifteen year detailed Strategic Vision Plan and a five year rolling implementation plan viz. Academic Plan, Faculty Recruitment Plan, Students Admission Plan, Research Plan, Campus Information & Communication Technology Plan, Infrastructure development Plan, Finance Plan, Administrative Plan, Governance Plan, etc. with clear annual milestones and action plans on how the new Institution Deemed to be University is to be set up/will be developed, with identifiable output and outcomes;
- iv. Details of the sponsoring body with its aims and objectives, along with the details of the key academic and administrative personnel;
- v. Land ownership documents in the name of the proposed Institution Deemed to be University or the sponsoring body. The Institution shall give link of the land ownership records in the Government portal;
- vi. Certificates regarding NAAC/NBA/NIRF accreditation/ranking;
- vii. Approval of the relevant statutory bodies for the professional courses which are currently being run;
- viii. Information along with evidence regarding the existing academic and physical infrastructure;
- ix. Details of the financial sustainability of the proposed Institution Deemed to be University along with the details of the corpus fund to be created in the name of the proposed Institution Deemed to be University;
- x. An undertaking to the effect that the proposed Institution Deemed to be University shall abide by all provisions of these Regulations;
- xi. In case of institutions which are fully/partially funded by the State/Central Government, a letter of commitment from the respective Government to continue financial support to the institution even after declaration as an Institution Deemed to be University;
- xii. Copies of the documents uploaded on the Commission's web portal shall also be publicly disclosed on the website of the institution, duly certified by the Head of the

.....institution/sponsoring body. Any information found to be false after due verification shall be liable for criminal prosecution under the Indian Penal Code, 1860, as amended from time to time.

5.03 The Commission shall refer the application for examination to an Expert Committee to be constituted by the Chairman of the Commission, and the Committee may direct the applicant to make a presentation to demonstrate whether or not the institution fulfils the requirements as specified in these Regulations. The Expert Committee shall submit its report to the Commission, within 30 days from the date of reference to it based on which the Commission shall provide its advice to the Government.

5.04 Upon due consideration of the advice of the Commission and after examination of all aspects of the matter, the Government, ordinarily within thirty days of receipt of the advice of the Commission, may declare an institution of higher education as an Institution Deemed to be University under Section 3 of the Act, by Notification in the Official Gazette.

Provided that if the proposal for consideration & declaration as an institution deemed to be university is not agreed upon and accepted, the Government will convey its decision in writing to the Sponsoring body along with the reasons thereof. The decision of the Government shall be final.

Provided further that an institution that was declared and notified for a limited period, as an institution deemed to be University under Section 3 of the Act, prior to the Notification of these Regulations, shall continue to be an institution deemed to be University in terms of these regulations irrespective of the period for which the initial Notification and extension thereof, if any, was issued by the Government. For such institutions, the Government shall issue the notification for continuation.

5.05 In case of institutions declared as Deemed to be Universities, the University/ies which had hitherto granted affiliation to such institutions shall transfer the credits and the transcripts of students who are enrolled and studying in the said institution. The existing students may get the degree from the affiliating University or Deemed to be University as per preference of the student. The newly admitted students shall get degree of the Institution Deemed to be University.

6.0. Procedure for Declaration of a 'Distinct Institution' as Deemed to be University

6.01 The following can submit 'on-line' application through UGC portal for setting up a new Institution Deemed to be University main campus only or main campus and Constituent Unit(s) devoted to study and research in disciplines as defined in clause 2.11 of these regulations:

(i) Any sponsoring body, with philanthropic aim or with commitment to society or aiming for the social, cultural and educational development of the country.

(ii) Central/State/UT Government.

6.02 Existing Institutions/ cluster of Institutions (whether Government or Private) either from same sponsoring body or different sponsoring bodies can also apply under this category for the establishment of main campus only or main campus and Constituent Unit(s) provided they are devoted to study and research in disciplines as defined in clause 2.11 of these regulations.

6.03 Institutions under 'Distinct Category' shall be exempted from Clause 4.01 of these Regulations. However, the proposed Institution Deemed to be University shall start its operations with minimum five academic programmes (either in UG/PG/Integrated/Research or combination of these) with physical and academic infrastructure as per the norms of Commission/relevant statutory body.

6.04 The applicant shall submit the application consisting of the following:-

- i. No Objection Certificate (NOC) from the State Government. (Each State/UT Government shall furnish its considered views/NOC, to the applicant sponsoring body, which intends to establish an Institution Deemed to be University within 60 days of the receipt of the request from the applicant sponsoring body. In case, no such views/NoC are received by the applicant sponsoring body within 60 days, it shall be presumed that the State/UT Government have no comments to offer on the request);
- ii. No Objection Certificate (NOC) from affiliating University in the case of affiliated College. (If the affiliating University does not respond to the request of the applicant Institution within 60 days on UGC application portal, it shall be presumed that the University has no objection to the application);
- iii. A detailed Project Report (DPR) containing its fifteen year detailed Strategic Vision Plan and a five year rolling implementation plan viz. Academic Plan, Faculty Recruitment Plan, Students Admission Plan, Research Plan, Campus Information & Communication Technology Plan, Infrastructure development Plan, Finance Plan, Administrative Plan, Governance Plan, etc. with clear annual milestones and action plans on how the new Institution Deemed to be University is to be set up/will be developed, with identifiable output and outcomes;
- iv. Details of the sponsoring body with its aims and objectives, along with the details of the key academic and administrative personnel;
- v. Land ownership documents in the name of the proposed Institution Deemed to be University or the sponsoring body. The Institution shall give link of the land ownership records in the Government portal;
- vi. Approval of the relevant statutory bodies for the professional courses which are currently being run;
- vii. Information along with evidence regarding the existing academic and physical infrastructure;
- viii. Details of the financial sustainability of the proposed Institution Deemed to be University along with the details of the corpus fund to be created in the name of the proposed Institution Deemed to be University;
- ix. An undertaking to the effect that the proposed Institution Deemed to be University shall abide by all provisions of these Regulations;
- x. In case of institutions of Central/State/UT Government and Government funded Institutions, a letter of commitment from the respective Government to continue financial support to the institution even after declaration as Institution Deemed to be University;
- xi. Copies of the documents uploaded on the Commission's web portal shall also be publicly disclosed on the website of the institution, duly certified by the Head of the institution/sponsoring body. Any information found to be false after due verification shall be liable for criminal prosecution under the Indian Penal Code, 1860, as amended from time to time.

- 6.05 The Commission shall refer the application for examination to an Expert Committee to be constituted by the Chairman of the Commission, and the Committee may direct the applicant to make a presentation to demonstrate whether or not the institution fulfils the requirements as specified in these Regulations.
- 6.06 The Expert Committee shall submit its report to the Commission, within 30 days from the date of reference to it, by way of recommendation on whether or not the Letter of Intent (LoI) can be issued and whether the same shall be issued with or without any additional conditions.
- 6.07 The Commission shall forward its advice to the Government within 30 days from the date of receiving the report of the Expert Committee; and thereafter, the Government shall, having taken into consideration the advice of the Commission, usually within a further period of thirty days, either issue a Letter of Intent (LoI) valid for a period of three years or reject the proposal stating reasons thereto. Under exceptional circumstances, the validity of Letter of Intent (LoI) may be extended beyond three years by the Government, on the advice of Commission which will take into account the preparedness of the institution(s) in respect of fulfilment of the conditions of LoI.
- 6.08 The Sponsoring body shall submit a report of compliance in respect of the conditions mentioned in the Letter of Intent (LoI) within a period of three years to the Government, which shall be forwarded to the Commission for its verification and advice and the Government shall take further steps following the procedure mentioned in Clause 5 of these Regulations.

7.0. Off-Campus Centre(s):

- 7.01(i) Institutions Deemed to be Universities with minimum 'A' grade & above or ranked from 1-100 in the "Universities" category of current NIRF ranking are eligible to set up new Off-Campus Centre(s).
- 7.01(ii) Institutions declared as Deemed to be Universities under 'Distinct Category' can apply for off-campus(es) within 5 years of their declaration. After five years, they have to fulfil the criteria stipulated at 7.01(i).
- 7.02 Institutions Deemed to be Universities with minimum 'A' grade & above or ranked from 1-100 in the "Universities" category of current NIRF ranking shall submit their application for setting up off-campus centre(s) through UGC portal.

They need to submit No Objection Certificate (NOC):-

- (a) from the State Government where the off-campus is proposed. (Each State/UT Government shall furnish its considered views/NOC, to the applicant Institution Deemed to be University, within 60 days of the receipt of the request from the applicant Institution Deemed to be University. In case, no such views/NoC are received by the applicant Institution Deemed to be University within 60 days, it shall be presumed that the State/UT Government have no comments to offer on the request), and
- (b) from the relevant statutory body (wherever applicable) for approval to set up Off-Campus Centre(s) or, for issuance of Letter of Intent for setting up Off-Campus Centre(s), as the case may be.

By following due procedure for setting up of off-campus centre(s) as stipulated in these Regulations, an Institution Deemed to be University can also apply on UGC portal for establishing an off-campus centre by taking over any affiliated college under the same sponsoring society/trust/company by progressively closing the affiliated college to convert it into an off-campus centre. NOC from the affiliating University should accompany the application. The affiliating University shall decide on granting NOC to the Deemed to be University within a maximum period of 60 days from the receipt of the request failing which it would be presumed

that the affiliating University has no objection to the application by the Institution Deemed to be University for establishing an off-campus centre.

- 7.03 The infrastructure at the proposed off-campus centre(s) shall be in accordance with the norms and standards prescribed by the UGC/relevant statutory body.
- 7.04 The Chairman of the Commission may constitute a Standing Committee to undertake examination of the information furnished by the Institution Deemed to be University.
- 7.05 The Commission, after taking into consideration the recommendation of the Standing Committee, may either issue a notification for setting up of off-campus centre(s) or reject the proposal stating the reasons thereto. Based on the advice of the Expert Committee, the Commission may consider to approve any off-campus on case-to-case basis. Once the off-campus is approved, the Commission may validate the degrees of students of such off-campuses.
- 7.06 If at any time, the information provided by the applicant Institution Deemed to be University is found to be incorrect, the Commission may withdraw the approval for starting the off-Campus centre; and in order to protect the interests of students enrolled at such centre, the Institution Deemed to be University shall take necessary action for the shifting of students to the main campus.
- 7.07 The compliance in respect of the conditions stipulated in the Letter of Intent (LoI) already issued by the Government prior to the notification of these regulations shall be submitted to the Commission which shall take necessary action as per the provisions of these regulations.

8.0 Off-shore Campus

- 8.01 Institutions Deemed to be Universities may start/establish off-shore Campus(es) in accordance with the provisions stipulated by the UGC from time to time in its Regulations.
- 8.02 Existing Off-Shore campus will continue to operate provided they have obtained due permissions.

9.0. Monitoring

- 9.01 The Commission shall monitor the performance and academic outcomes of Deemed to be Universities having valid accreditation by NAAC with less than 'A' grade or ranked more than 100 in current NIRF ranking (Universities category) not later than every five years, on the basis of a report submitted annually by the Deemed to be Universities. Such monitoring shall be based on public disclosures on performance parameters namely;
- (i) The graduate outcomes shall be such that a minimum of 50% of the graduating students secure access to employment/self-employment or engage themselves in pursuit of higher education;
 - (ii) Promote linkage of the students with the society/industry such that at least two-third of the students engage in socially productive activities during their period of study in the institutions;
 - (iii) Train the students in essential professional skills such as team-work, communication skills, leadership skills, time-management skills, soft skills, etc; and inculcate a spirit of innovation/entrepreneurship and critical thinking among the students and promote avenues for display of these talents;
 - (iv) Teacher-student ratio of 1:20 with a minimum combined faculty strength of not less than 100 (one hundred) teachers and a minimum combined student strength of 2000 (two thousand) on its rolls under the regular class-room mode or as per the norms of relevant statutory body, and ensure that not more than 10% of the sanctioned posts of teachers is vacant at any point of time;

- (v) Conduct induction programmes for students;
- (vi) Adopt Learning-outcome based curriculum framework (LOCF) and revise curriculum at regular intervals;
- (vii) Use Information Communication Technology (ICT) based learning tools for effective teaching-learning processes;
- (viii) Choice Based Credit System (CBCS), Academic flexibility for interdisciplinary learning;
- (ix) Examination Reforms to ensure that the student assessment is based on understanding of the concepts, and application thereof;
- (x) Ensure that at least half of the students passing out appear for examinations such as GATE/JAM/UGC-NET, and at least half of those appearing qualify;
- (xi) Tracking of the student progress after completion of course;
- (xii) Ensure that all new teachers, immediately after recruitment, are put through an induction course imparting pedagogical aspects;
- (xiii) All existing teachers shall be placed through an annual refresher training;
- (xiv) Compulsory participation in the NIRF ranking;
- (xv) National level merit-based admission process;
- (xvi) Effective implementation of measures for quality improvement as per the quality mandate of UGC;
- (xvii) Set up start-up incubation centres, technology development centers, centers in frontier areas of research, promote inter-disciplinary research and support a vibrant research and innovation culture, collaborating where possible with research organizations and research labs;
- (xviii) To ensure not to start or run any professional courses governed by specific Acts of parliament, except with the prior and specific approval of the authority constituted thereunder as well as in conformity with the Clause 8 of these Regulations;
- (xix) To submit the type of courses those are being offered latest by 30th April every year. The details to be provided are - year, department, courses offered, whether the course is professional or general, approval of the statutory council, approval of the UGC, student intake, actual number of students enrolled, etc.;
- (xx) Every year on completion of the admissions, the Deemed to be University shall upload all the data on its website;
- (xxi) To adhere to these regulations and other rules/regulations/ directions issued by the UGC and relevant statutory bodies from time to time.

9.02 The Commission shall decide on the physical verification of an Institution Deemed to be University, or constitute teams for such verification only on receipt of complaints against the accuracy and veracity of the information placed on the website of the institution in respect of the parameters referred to in clause 9.01.

9.03 The performance and academic outcomes of the Institutions Deemed to be Universities having valid accreditation by NAAC with less than 'A' grade or ranked more than 100 in current NIRF ranking (Universities category) shall be evaluated by an Expert Committee, constituted by the Chairman of the Commission, based on the criteria stipulated in clause 9.01 of these regulations. For the purpose of such evaluation, the Expert Committee may adopt such procedure as it may deem appropriate.

9.04 The Expert Committee shall submit a report to the Commission specifying its recommendations on the performance and academic outcomes of the Institution Deemed to be University. Those Deemed to be Universities that are found deficient in some aspects, shall be given a period (to be decided by the UGC Expert Committee) to rectify the deficiencies. Failing to rectify the

deficiencies, the Expert Committee shall recommend for withdrawal of Deemed to be University status.

- 9.05 In those cases, where the Expert Committee has recommended for withdrawal of Deemed to be University status, the Commission shall tender its advice to the Government; and, upon receipt of the advice of the Commission, an action shall be initiated by the Central Government for withdrawal of the status of the Institution as an Institution Deemed to be University and consequent steps thereon.

10.0. Governance

- 10.01 The proposed/existing Institution Deemed to be University shall be registered as a not-for-profit Society under the Societies Registration Act, 1860 or as a not-for-profit Trust under the Public Trust Act, or as a not-for-profit company under section 8 of the Companies Act, 2013.

Provided that a sponsoring body exclusively established for running educational institutions shall be exempted from registering as a separate not-for-profit Society /Trust /Company for the Deemed to be University. However, the sponsoring body shall categorically mention in its Registration Deed that the sponsoring body is exclusively for running educational activities and no other activities are being carried out or shall be carried out in future under it.

Provided further that if the sponsoring body of an existing Institution Deemed to be University does not have a Society /Trust /Company exclusively for running educational institutions, it shall form a new not for profit Society / Trust /Company for the Deemed to be University. In such cases, the sponsoring body shall be exempted from transferring of its moveable and immoveable assets to the newly created Society/Trust/Company, if such sponsoring body provides Notarized Affidavit to the effect that the entire moveable and immoveable assets allocated to the Institution Deemed to be University shall not be leased or otherwise disposed off without the prior permission of the Commission, and also that all expansion in the future shall be undertaken by the Society /Trust /Company exclusively established for Deemed to be University.

Provided also that the sponsoring body shall make available the infrastructure for operating the Institution Deemed to be University without any rental or other such charges.

- 10.02 All moveable and immoveable assets of the Institution Deemed to be University shall be used only for the purpose of conducting academic activities, promotion of research and related administrative requirements of the Institution Deemed to be University including outreach educational activities.
- 10.03 The highest governing body of the Institution Deemed to be University shall be the Executive Council to be headed by the Vice Chancellor, and consisting of not less than 9 and not more than 15 members.
- 10.04 The Executive council shall be the principal executive body of the Institution Deemed to be University.
- 10.05 The composition of Executive Council shall be as under: -
- (i) Vice-Chancellor.....Chairperson;
 - (ii) Pro Vice-Chancellor (wherever applicable),
 - (iii) Four members from among Deans of Schools of Studies, by rotation according to seniority, to be appointed by the Vice-Chancellor;

- (iv) One Professor who is not a Dean by rotation according to seniority, to be appointed by the Vice-Chancellor;
- (v) One Associate Professor, by rotation according to seniority, to be appointed by the Vice-Chancellor;
- (vi) One Assistant Professor, by rotation according to seniority, to be appointed by the Vice-Chancellor;
- (vii) One representative of the Central Government or the State Government, as the case may be, where the Institution Deemed to be University is controlled and managed by such Government or is receiving grants directly or indirectly of a minimum of 50% of the average expenditure of three previous years, who shall be an eminent academic not below the rank of Professor - in respect of all other Institution Deemed to be Universities, the UGC shall nominate a representative;
- (viii) Nominees of the Sponsoring body, not exceeding two in number;
- (ix) The Registrar, who shall be ex-officio Secretary of the Executive Council.

10.06 Tenure of the members of the Executive Council

- 10.06.1 All the members of the Executive Council, other than the Vice-Chancellor and Pro-Vice-Chancellor, shall hold office for a term of three years. In case of Deans, the term will be for three years or until they hold the office of Dean, whichever is earlier.
- 10.06.2 Members of the Executive Council appointed by the Vice-Chancellor from Professor, Associate Professor and Assistant Professor category shall hold office for a period of one year or till such time as they cease to be teachers of the University, whichever is earlier.

10.07 Powers and Limitations of the Executive Council

- 10.07.1 The Executive Council shall have the power of management and administration of the Institution Deemed to be University and the conduct of all administrative affairs of the Institution Deemed to be University not otherwise provided for.
- 10.07.2 The Executive Council shall be the final decision-making body of the Institution Deemed to be University in respect of every matter of the Institution Deemed to be University, including in the academic, administrative, personnel, financial, developmental matters.
- 10.07.3 Subject to the provisions of these Regulations and Rules of the Institution Deemed to be University, the Executive Council shall, in addition to all other powers vested in it, have the following powers, namely:-
 - (i) to appoint such Professors, Associate Professors, Assistant Professors, and other academic staff, including Chairs, as may be necessary, on the recommendation of the Selection Committee constituted for the purpose and to fill up temporary vacancies therein;
 - (ii) to regulate and enforce discipline among employees in accordance with the Rules;
 - (iii) to provide for the appointment of Visiting Professors, Emeritus Professors, Professor of Practice, Consultants, Scholars, etc., and determine the terms and conditions of such appointments;
 - (iv) to exercise such other powers and perform such other duties as may be conferred or imposed on it by these Regulations and Rules of the Institution Deemed to be University.

10.08 Meetings of the Executive Council

- (i) The Executive Council shall meet at least four times a year (minimum two in one semester), with no less than 07 days' notice given before every meeting of the Executive Council. Emergency meetings can be convened by giving short notice.
- (ii) Attendance by more than one-third of the total number of members of Executive Council shall form the quorum for a meeting.
- (iii) In the absence of the Vice-Chancellor, the Pro-Vice Chancellor shall preside over the meeting; and where there be no Pro-Vice-Chancellor in an Institution Deemed to be University, a member chosen by the other members present, shall preside over the meeting.
- (iv) Every member of the Executive Council, including its Chairperson, shall have one vote and decisions at the meetings of the Executive Council shall be taken by simple majority; and in case of a tie, the Chairperson shall have a casting vote.
- (v) Any business, which may be urgent in nature, may be carried out by circulation amongst its members.
- (vi) A copy of the minutes of each meeting shall be furnished to the Chancellor of the Institution Deemed to be University as soon as possible after the meeting.

10.09 Termination of Membership

If a member other than the Vice- Chancellor, and those representing the teachers, accepts a full time appointment in the Institution Deemed to be University or does not attend three consecutive meetings of the Executive Council, without proper leave of absence, such member shall cease to be a member of the Executive Council.

10.10 Delegation of Powers of the Executive Council

The Executive Council may, by a resolution, delegate to the Vice Chancellor or any other officer/faculty or to a Committee of officers/faculties of the Institution Deemed to be University, such powers as it may deem fit, subject to the condition that the action taken by the Vice Chancellor or the officer/faculty, or the Committee in the exercise of the powers so delegated, shall be reported at the next meeting of the Executive Council.

10.11 Other Authorities of the Institution Deemed to be University

10.11.1 Academic Council

- A. The Academic Council shall be the principal academic body of the Institution Deemed to be University and shall, subject to the provision of the Rules of the Institution Deemed to be University, Co-ordinate and exercise general supervision over the academic policy of the Institution Deemed to be University.

The composition of the Academic Council shall be as under:

- (i) Vice Chancellor - Chairperson;
- (ii) Pro Vice-Chancellor (wherever applicable);
- (iii) Dean(s) of the Schools and Heads of the Departments/Centres;
- (iv) Ten Professors (excluding those who are Deans of Schools & Heads of Departments/Centres) on the basis of seniority and rotation to be nominated by Vice-Chancellor giving due regard to representation of different Schools/Departments/Centres;
- (v) Two Associate Professors from Departments/Centres other than the Heads of the Departments/Centres, by rotation according to seniority to be appointed by the Vice-Chancellor;
- (vi) Two Assistant Professors from Departments/Centres other than the Heads of the Departments/Centres, by rotation according to seniority to be appointed by the Vice-Chancellor;

- iii. Each member, including its Chairman, shall have one vote and decisions at the meetings of the Academic Council shall be taken by simple majority; and in case of a tie, the Chairman shall have a casting vote.
- iv. Any business that may be necessary for the Academic Council to perform, except such as may be placed before its meeting, may be carried out by circulation of the resolution.

10.11.2 Finance Committee

A. The composition of Finance Committee shall be as under:

- (i) Vice Chancellor - Chairperson;
- (ii) Pro Vice-Chancellor (wherever applicable);
- (iii) One person nominated by the Society/Trust/Company (wherever applicable);
- (iv) Three persons to be nominated by the Executive Council, out of whom at least one shall be a member of the Executive Council;
- (v) One representative of Central Government not below the rank of Joint Secretary or his representative to the Government of India, in case the institution is controlled and managed by Central Government or is receiving grants more than or equal to 50% of its expenditure (based on average of previous three-year account) from the Central Government directly or through its Agencies; and in all other Institution Deemed to be Universities, the Commission shall nominate a representative;
- (vi) Three persons to be nominated by the Chancellor;
- (vii) Finance Officer-Secretary - ex officio

Note - In case the Institution Deemed to be University is controlled/managed/funded by the State Government, representative of such Government.

- B. Tenure of members of the Finance Committee: All members of the Finance Committee other than ex-officio members shall hold office for a term of three years.
- C. The Finance Committee shall meet at least four times a year (at least twice each semester) to examine the accounts and scrutinise proposals for expenditure. One-third of the total number of members of Finance Committee shall form the quorum for a meeting.
- D. All proposals relating to creation of posts, and those items which have not been included in the Budget, shall be examined by the Finance Committee before the Executive Council considers them.
- E. The annual accounts and financial estimates of the Institution Deemed to be University prepared by the Finance Officer shall be laid before the Finance Committee for consideration and comments and thereafter submitted to the Executive Council for approval.
- F. The Finance Committee shall recommend limits for the total recurring and non-recurring expenditures for the year, based on the income and resources of the Institution Deemed to be University.

10.11.3 Board of Studies:

There shall be one Board of Studies for each Department /School of the Institution Deemed to be University. The composition of the Board of Studies shall be as under:

- (i) Dean of School/ Head of the Department - Chairperson;
- (ii) All Professors of the School/ Department;
- (iii) Two Associate Professors of the School/ Department by rotation based on seniority;
- (iv) Two Assistant Professors of the School/Department by rotation based on seniority;
- (v) Two experts to be co-opted for their specialized knowledge.

Subject to the overall control and supervision of the Academic Council, the functions of a Board of Studies shall be to approve subjects for research for various degrees and other requirements of research degrees and to recommend to the concerned School Board in the manner prescribed by the Rules:-

- (a) Courses of studies;
- (b) Appointment of supervisors for research; and
- (c) Measures for the improvement of the standards of teaching and research.

Note: The powers and functions of the Board of Studies shall be prescribed by the Rules of the Institution Deemed to be University.

10.11.4 Selection Committee for appointment of teaching staff:

There shall be one or more Selection Committees constituted, for making recommendations to the Executive Council for appointment to the post of Professors, Associate Professors, Assistant Professors and such other posts as may be prescribed, in accordance with the UGC Regulations (on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education), 2018 as amended from time to time.

10.11.5 Disqualification

A person shall be disqualified for being chosen as, and for being, a member of any of the authorities, or being appointed as, and for being, an officer, of the Institution Deemed to be University if:

- (a) if he/she is of unsound mind; or
- (b) if he/she is an un-discharged insolvent; or
- (c) if he/she has been convicted by a court of law for an offence involving moral turpitude and sentenced in respect thereof to imprisonment for not less than six months; or
- (d) if he/she has not been appointed as per the provisions of these Regulations.

If any question arises as to whether a person is or had been subjected to any of the disqualifications mentioned above, the question shall be referred to the Chancellor and his decision shall be final and no suit or other proceeding shall lie in any civil court against such decision.

10.12 Officers of the Institution Deemed to be University:

10.12.1 Chancellor

- i. The Chancellor, who the sponsoring body shall appoint, shall hold office for a period of 5 years from the date of first assuming office, and shall not be eligible for reappointment.

Provided that notwithstanding the expiry of hi/s/ her term of office, the Chancellor shall continue to hold office until his/her successor enters upon his office.

Provided further that the Chancellor in the Institution Deemed to be Universities managed/controlled/receiving funds more than or equal to 50% of their annual expenditure from the Central/State Government shall be appointed by the respective Government.

- ii. The Chancellor shall, by virtue of his office, be the head of the Institution Deemed to be University and shall, if present, preside at the Convocations of the Institution Deemed to be University held for conferring degrees.

10.12.2 Vice-Chancellor

- A. The Vice-Chancellor shall be a whole-time salaried officer of Institution Deemed to be University, and shall be appointed by the Chancellor from a panel of three names suggested by a Search-cum- Selection Committee.
- B. The qualifications of the Vice-Chancellor shall be in accordance with the UGC Regulations (on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education), 2018, as amended from time to time.
- C. The procedure/composition of Search-cum-Selection-Committee (SCSC) for the selection of Vice- Chancellor shall be as under:
 - i. The Vice-Chancellor in the Institution Deemed to be University managed/ controlled/ receiving funds more than or equal to 50% of their annual expenditure from the Central/State Government shall be appointed in accordance with the procedure laid down by the Central Government or the State Government, as the case may be.
 - ii. Where the financial assistance, by way of grants in aid or otherwise, to the Institution Deemed to be University by the Central Government or by its Agencies is more than or equal to 50% of its expenditure (based on average of previous three year accounts), the Vice-Chancellor shall be appointed as per the procedure at (i) above.
 - iii. Where the financial assistance, by way of grants in aid or otherwise, is less than 50% of its expenditure (based on average of previous three year accounts), the composition of Search cum-Selection Committee shall be as under:
 - (a) A nominee of the Chancellor, who shall be a reputed academician as the Chairperson of the Committee
 - (b) A nominee of the Chairman, University Grants Commission
 - (c) An academician, with not less than 10 years' service as Professor, nominated by the Executive Council

D. Tenure of Vice-Chancellor:

- i. The Vice-Chancellor shall hold office for a term of 5 years from the date on which he/she enters upon his office or until he/she attains the age of 70 (seventy) years, whichever is earlier, and he shall not be eligible for re-appointment;

Provided that notwithstanding the expiry of the said period of five years, he/she shall continue in office until his successor is appointed and enters upon his office;

Provided further that the Chancellor may direct the Vice-Chancellor after his term has expired, to continue in office for such period, not exceeding a total period of one year.

- ii. If the office of the Vice-Chancellor becomes vacant due to death, resignation or otherwise, or if he/she is unable to perform his/her duties due to ill-health or any other cause, the Pro-Vice-Chancellor shall perform the duties of the Vice-Chancellor;

Provided that if the Pro-Vice-Chancellor is unavailable, the senior-most Professor shall perform the duties of the Vice-Chancellor until a new Vice-Chancellor assumes office or the existing Vice-Chancellor resumes the duties of his office, as the case may be.

E. Powers and duties of Vice-Chancellor

- i. The Vice-Chancellor shall be the Principal Executive Officer and academic officer of the Institution Deemed to be University and shall exercise general supervision and control over

the affairs of the Institution Deemed to be University and give effect to the decision of all authorities of the Institution Deemed to be University.

- ii. The Vice-Chancellor may, if he is of the opinion that immediate action is necessary on any matter, exercise any power conferred on any authority of the Institution Deemed to be University by or under these Regulations and shall report to such authority at its next meeting the action taken by him on such matter;

- iii. Provided that if the authority concerned is of the opinion that such action ought not to have been taken, it may refer the matter to the Chancellor, whose decision thereon shall be final;

Provided further that any person in the service of the Institution Deemed to be University who is aggrieved by the action taken by the Vice-Chancellor under this sub-section shall have the right to represent against such action to the Executive Council within three months from the date on which decision on such action is communicated to him and thereupon the Executive Council may confirm, modify or reverse the action taken by the Vice-Chancellor.

- iv. The Vice-Chancellor, if he/she is of the opinion that any decision of any authority of the Institution Deemed to be University is beyond the power of the authority conferred by the provisions of these Regulations or that any decision taken is not in the interest of the Institution Deemed to be University, may ask the authority concerned to review its decision within sixty days of such decision and if the authority refuses to review the decision either in whole or in part or no decision is taken by it within the said period of sixty days, the matter shall be referred to the Chancellor whose decision thereon shall be final.
- v. The Vice-Chancellor shall be the Ex-officio Chairperson of the Executive Council, the Academic Council and the Finance Committee and shall, in the absence of the Chancellor, preside at the Convocations held for conferring degrees.
- vi. The Vice-Chancellor shall be entitled to be present at, and address, any meeting of any authority or other body of the Institution Deemed to be University, but shall not be entitled to vote thereat unless he is a member of such authority or body.
- vii. It shall be the duty of the Vice-Chancellor to see that these Regulations are duly observed and he/she shall have all the powers necessary to ensure such observance.
- viii. The Vice-Chancellor shall have all the powers necessary for the proper maintenance of discipline in the Institution Deemed to be University and he/she may delegate any such powers to such person or persons as he deems fit.
- ix. The Vice-Chancellor shall have the power to convene or cause to be convened the meetings of the Executive Council, the Academic Council, and the Finance Committee.

F. Removal of Vice-Chancellor

- i. Where there are reasons to believe that the Vice-Chancellor of an Institution Deemed to be University does not possess the qualifications as required under these Regulations and also under the UGC Regulations on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018, as amended from time to time or is not appointed as per the procedure stipulated in these Regulations or has committed any financial/administrative impropriety, the Chairman of Commission shall constitute a committee consisting of academic, administrative or financial experts to enquire into the matter.
- ii. On the directions from the Commission, the Chancellor shall place the Vice-Chancellor under suspension, pending enquiry.

- iii. The Enquiry Committee shall give an opportunity of hearing to the Vice-Chancellor before submitting its report to the Commission. Where the report of the Enquiry Committee confirms the ineligibility, or procedural violations, or impropriety, as the case may be, the Commission shall direct the Chancellor to remove the Vice Chancellor.

Provided that, in respect of the Vice Chancellor of Institution Deemed to be university managed/ controlled/ receiving funds more than or equal to 50% of their annual expenditure from the Central Government or State Government, the Commission shall convey its advice regarding removal of Vice-Chancellor to the relevant Ministry of the Central Government or the State Government, as the case may be.

- iv. Notwithstanding anything contained in these Regulations, the Chancellor may, at any time after the Vice-Chancellor has entered upon his office, by order in writing, remove the Vice-Chancellor from office on the grounds of incapacity, misconduct or violation of these regulations;

Provided that the Chancellor shall make no such order unless the Vice-Chancellor has been given a reasonable opportunity of showing cause against the action proposed to be taken against him;

Provided further that the Chancellor may, at any time before making such order, place the Vice-Chancellor under suspension, pending an inquiry.

10.12.3 Pro Vice-Chancellor

- i. The post of the Pro Vice-Chancellor may be created in an Institution Deemed to be University by the Executive Council;

Provided that in respect of institutions managed/ controlled/ receiving funds more than or equal to 50% of their annual expenditure from the Central Government or State Government, the prior approval of the appropriate Government shall be obtained before creating the post;

- ii. The Pro Vice-Chancellor shall be appointed by the Executive Council on the recommendation of the Vice-Chancellor. It shall be the prerogative of the Vice-Chancellor to recommend a person to be the Pro-Vice-Chancellor to the Executive Council;

Provided that where the recommendation of the Vice-Chancellor is not accepted by the Executive Council, the matter shall be referred to the Chancellor who may either appoint the person recommended by the Vice-Chancellor or ask the Vice-Chancellor to recommend another person to the Executive Council;

Provided further that the Executive Council may, on the recommendation of the Vice-Chancellor, appoint a professor to discharge the duties of the Pro-Vice-Chancellor in addition to his own duties as a Professor.

- iii. The term of office of the Pro-Vice-Chancellor shall be such as may be decided by the Executive Council, but it shall not, in any case, exceed five years or until the expiration of the term of office of the Vice-Chancellor, whichever is earlier.

Provided that the Pro-Vice-Chancellor whose term of office has expired shall be eligible for re-appointment;

Provided also that the Pro-Vice-Chancellor shall, while discharging the duties of the Vice-Chancellor, continue in office, notwithstanding the expiration of his term of office as Pro-Vice-Chancellor, until the Vice-Chancellor resumes office or a new Vice-Chancellor assumes office, as the case may be.

Provided further that, in any case, the Pro-Vice-Chancellor shall retire on attaining the age of sixty five years;

In case where the inquiry discloses that a punishment beyond the power of the Registrar is called for, the Registrar shall, upon the conclusion of the inquiry, make a report to the Vice-Chancellor along with his recommendations;
Provided that an appeal shall lie to the Executive Council against an order of the Vice-Chancellor imposing any penalty.

viii. It shall be the duty of the Registrar:-

- (a) to be the custodian of the records, the common seal, and such other property of the Institution Deemed to be University as the Executive Council shall commit to his/her charge;
- (b) to issue all notices convening meetings of the Executive Council, the Academic Council and of any Committees appointed by those authorities;
- (c) to keep the minutes of all the meetings of the Executive Council, the Academic Council and of any Committees appointed by those authorities;
- (d) to conduct the official correspondence of the Executive Council and the Academic Council;
- (e) to supply to the Chancellor copies of the agenda of the meetings of the authorities of the Institution Deemed to be University as soon as they are issued and the minutes of such meetings;
- (f) to represent the Institution Deemed to be University in suits or proceedings by or against the University, sign powers of attorney and verify pleadings or depute his representative for the purpose; and
- (g) to perform such other duties as may be specified in the Rules or as may be required from time to time by the Executive Council or the Vice-Chancellor.

10.12.5 Finance Officer

- i. The Finance Officer shall be appointed by the Executive Council on the recommendations of a Selection Committee constituted for the purpose, and he/she shall be a whole-time salaried officer of the Institution Deemed to be University.
- ii. The Finance Officer shall be appointed for a term of five years and shall be eligible for re-appointment. Provided further that, in any case, the Finance Officer shall retire on attaining the age of sixty two years.
- iii. The emoluments and other terms and conditions of service of the Finance Officer shall be as may be prescribed by the Executive Council from time to time.
- iv. When the office of the Finance Officer is vacant or when the finance Officer is, by reason of illness, absence or any other cause, unable to perform the duties of his office, the duties of the office shall be performed by such persons as the Vice-Chancellor may appoint for the purpose.
- v. The Finance Officer shall be ex-officio Secretary of the Finance Committee but shall not be deemed a member of such Committee.
- vi. The Finance Officer shall:-
 - (a) Exercise general supervision over the funds of the Institution Deemed to be University and shall advise it as regards its financial policy; and
 - (b) Perform such other financial functions as may be assigned to him by the Executive Council or as may be prescribed by the Rules of the Institution Deemed to be University.
- vii. Subject to the control of the Executive Council, the Finance Officer shall-
 - (a) Ensure that the limits fixed by the Executive Council for recurring and non-recurring expenditure for a year are not exceeded and that all amounts of money are expended on the purpose for which they are granted or allotted;

- (b) Be responsible for the preparation of annual accounts and the budget of the Institution Deemed to be a university and for their presentation to the Executive Council;
- (c) Keep a constant watch on the state of the cash and bank balances and on the state of investments;
- (d) Watch the progress of the collection of revenue and advice on the methods of collection employed;
- (e) Ensure that the registers of buildings, land, furniture, and equipment are maintained and up-to-date and that stock-checking is conducted, of equipment and other consumable materials in all offices, Departments, Schools, Faculties, Centers and specialized Laboratories;
- (f) Bring to the notice of the Vice-Chancellor unauthorized expenditure and other financial irregularities and suggest disciplinary action against persons at fault; and
- (g) Call for from any office, Department, School, Faculty, Centre, Laboratory, etc. maintained by the Institution Deemed to be University any information or returns that he/she may consider necessary for the performance of his duties.

10.12.6 Controller of Examinations

- i. The Controller of Examinations shall be appointed by the Executive Council on the recommendations of a Selection Committee constituted for the purpose and he/she shall be a whole-time salaried officer of the Institution Deemed to be University.
- ii. The Controller of Examinations shall be appointed for a term of five years and shall be eligible for re-appointment. Provided further that, in any case, the Registrar shall retire on attaining the age of sixty two years.
- iii. The emoluments and other terms and conditions of service of the Controller of Examination shall be such as may be prescribed by the Executive Council from time to time.
- iv. When the office of the Controller of Examinations is vacant or when the Controller of Examinations is, by reason of illness, absence or any other cause, unable to perform the duties of his office, the duties of the office shall be performed by such persons as the Vice-Chancellor may appoint for the purpose.
- v. The Controller of Examination shall arrange for and superintend the examinations of the Institution Deemed to be University in the manner prescribed by the Rules.
- vi. The Controller of Examinations shall be a permanent invitee to the Academic Council.

10.12.7 Dean

- i. The Departments dealing with allied subjects could be grouped into faculties/Schools/Centres, etc., and a Dean may head every faculty.
- ii. Every Dean of School shall be appointed by the Vice-Chancellor from amongst the Professors in the School by rotation in the order of seniority for a period of three years; Provided that in case there is only one Professor or no Professor in a Faculty/School/Centre, the Dean shall be appointed, for the time being, from amongst the Professor, if any, and the Associate Professors in the Faculty/School/Centre by rotation in order of seniority;
- iii. When the office of the Dean is vacant or when the Dean is, by reason of illness, absence or any other cause, unable to perform duties of his office, the duties of the office shall be performed by the senior-most Professor or Associate Professor, as the case may be, in the School.
- iv. The Dean shall be the Head of the Faculty/School/Centre and shall be responsible for the conduct and maintenance of the standards of teaching and research in the Faculty/School/Centre and shall have such other functions as may be prescribed by the Rules.
- v. The Dean shall have the right to be present and to speak at any meeting of the Board of Studies or Committees of the Faculty/School/Centre, as the case may be, but shall not have the right to vote thereat unless he is a member thereof.

10.12.8 Head of the Department

- i. There shall be a Head of the Department for each of the Departments in the Institution Deemed to be University who shall be appointed by the Vice-Chancellor from amongst the Professors of the Department.
Provided that if there is no Professor in the Department or there is only one Professor in the Department whose term as Head of the Department is ending, the Vice-Chancellor may appoint an Associate Professor as Head of the Department.
- ii. The term of the Head of the Department shall normally be 3 years and he/ she shall be eligible for reappointment for one more term, but not for two consecutive terms.
- iii. The powers and functions of the Head of the Department shall be prescribed by Rules of the Institution Deemed to be University.

10.12.9 Notwithstanding anything contained in these Regulations, the governance system and management structure of an Institution Deemed to be University managed/ controlled/ receiving funds more than or equal to 50% of its annual expenditure from the Central Government or the State Government shall be in accordance with the decision of the appropriate Government.

11.0. Admission and fees Structure

11.01 Admission

Admission of students to the Institution Deemed to be University, public or private, shall be strictly based on merit in the entrance exam conducted by a Government Testing Agency or the Institution Deemed to be University and as prescribed by the appropriate statutory authority, wherever applicable. In case of no entrance exam, the admission of students to the Institution Deemed to be University may be made in the manner specified in the prospectus.

11.02 Fee Structure

- (i) Donation/capitation fee in whatever nomenclature or form, either directly or indirectly, is strictly prohibited.
- (ii) The fee structure/ regulations issued by the Government and relevant statutory body shall be applicable.
- (iii) The fee shall be fixed transparently keeping in view non-profiteering/non-commercial aspects.
- (iv) The fee shall be collected as declared in the prospectus of the University and with a proper receipt for such payment.
- (v) The Deemed to be University may provide fee concession/scholarships or may allocate some seats to meritorious students belonging to socially and economically deprived groups of the society.
- (vi) The Deemed to be University shall abide by UGC notifications/guidelines regarding refund of fees and return of original certificates, as amended from time to time.

11.03 Every Institution Deemed to be University shall maintain the records of the entire process of selection of candidates, exhibit such records on its website, and preserve such records for a minimum period of five years.

11.04 The Deemed to be University shall make available the prospectus on its website at least 60 days before the commencement of admissions, including fee structure, refund policy, number of seats in a programme, eligibility qualifications, admission process, etc.

- 11.05 The Deemed to be University shall not retain any original certificate of students after admission. This shall also apply to candidates joining teaching positions.

12.0. Institution Deemed to be University open to all

- 12.01 The Institution Deemed to be University shall be open to the persons of either sex and of whatever caste, creed, race or class, and it shall not be lawful for the Institution Deemed to be University to adopt or impose on any person, any test whatsoever of religious belief or profession in order to entitle him/her to be appointed as a teacher of the Institution Deemed to be University or to hold any other office therein or to be admitted as a student in the Institution Deemed to be University or to graduate thereat or to enjoy or exercise any privilege thereof;

Provided that nothing in this section shall be deemed to prevent the Institution Deemed to be University from making special provision for the employment or admission of women, persons with disabilities or of persons belonging to the weaker section of the society and, in particular, of the Scheduled Castes, the Scheduled Tribes and the other socially and educationally backward classes of citizens.

- 12.02 All policies and procedures, in matters of admission, fee, and employment as applicable to the university-level public and privately funded institutions, respectively, shall apply.

13.0. Institution Deemed to be University to be Unitary

The Institution Deemed to be University shall be unitary in nature and shall not affiliate any other institution.

14.0. Reservation Policy

Institution Deemed to be University shall implement the policy on reservations in admissions and recruitment, in accordance with provisions of the Constitution of India and any Act of Parliament for the time being in force and disclose all such information on its website.

15.0. Online/Distance Education

Institution Deemed to be Universities may offer courses online/distance courses/degrees in accordance with the UGC Regulations notified from time to time.

16.0. Power to Conduct Enquiry and Consequences of violation of Regulations

- 16.01 Where the Government or the Commission, as the case may be, receives information by way of a complaint or otherwise, that an Institution Deemed to be University has violated any of the provisions of these Regulations, it shall cause to conduct an enquiry into the facts of such information after issuing a notice to the said effect to the Institution Deemed to be University.
- 16.02 The Commission may also cause an inspection, to be made by experts in matters of academics, administration, and finance; regarding academic outcomes or any matter connected with the administration or finances of the Institution Deemed to be University for the purpose of the inquiry.
- 16.03 Based on the inquiry or through procedures mentioned in these Regulations, if the Government or the Commission, as the case may be, is satisfied that these Regulations have been violated, the Institution Deemed to be University shall be subjected to such action as provided in these Regulations.

17.0. Consideration of proposals under previous Regulations

All the applications for the declaration of an Institution as an Institution Deemed to be a University, or for the approval for establishing Off-Campus Centre(s) or Off-Shore Campus(es), that have been received by the Government or are pending or under process in the Commission prior to the date of Notification of these regulations, as the case may be, shall be governed by these regulations;

Provided that the applicant may submit an undertaking in writing that such an application may be processed in terms of the regulations of the Commission governing an Institution Deemed to be University as were in force on the date of such application, and that, subject to the approval of the application under those regulations, the applicant shall comply with the provisions of these regulations within a period of two (2) years from the date of approval, as the case may be, failing which the status of the applicant as an Institution Deemed to be University/status of the off-campus/off-shore campus shall be withdrawn.

18.0. Funds, Accounts, Audits and Annual Report

- i. The books of accounts of the Institution Deemed to be University shall be maintained, managed and operated in the name of the Institution Deemed to be University and not in the name of the sponsoring body or any other body; and, shall be kept in such form as may be laid down by the Executive Council and conform to the rules, if any, prescribed in this regard by the Commission.
- ii. Funds shall not be diverted at any time from the accounts of the Institution Deemed to be University to any other accounts, including to the accounts of the Sponsoring body or any other body.
- iii. The Institution Deemed to be University shall get its books of accounts audited annually, separately published and uploaded on the website of the Institution; and if such institution is funded by Central or State Government or through its agencies fully or partially, then the accounts of such Institution Deemed to be University shall be open for examination by the Controller and Auditor General of India; accounts of Institution Deemed to be University shall also, where required, be open for inspection by the Commission.
- iv. The annual financial statements and accounts shall be audited by a qualified professional, a Member or Fellow of the Institute of Chartered Accountants of India, to be appointed by the Institution Deemed to be University.

Provided that, the Commission may, on receipt of information regarding financial impropriety or embezzlement or illegal diversion of funds from the accounts of the Institution Deemed to be University; or of fees being collected against the provision of the regulations, issue a notice directing the Institution Deemed to be University to show cause as to why an inspection, including a forensic audit, not be ordered in respect of the complaint, and after providing a reasonable opportunity to the respondent institution, the Commission may on being satisfied that there were sufficient grounds to proceed further, cause an inspection by a team of the Commission and/or also direct a forensic audit; the report of and the inspection team and the forensic audit report taken together shall form the basis for the Commission to take further action, as it may be Deemed fit, under these Regulations including recommendation of withdrawal of Institution Deemed to be University status to the Government.

- v. Annual Reports and the Audit Reports shall be uploaded on the Institution Deemed to be University website after due approval from Executive Council. Institution Deemed to be Universities managed/ controlled/ funded by the Central/State Government shall submit a copy of the annual report and annual audit report to the respective Governments.

19.0. Miscellaneous

- i. The Institution Deemed to be University shall mention the name with the location of campus/off campus /off-shore campus/ constituent unit/Constituent institution on the degree, diploma, certificate, or any other qualification awarded to students on its rolls.
 - ii. The Institution Deemed to be University shall, as soon as may be, after the completion of the academic programme, and in no case later than 180 days from the date of such completion, ensure that the degree, diploma, certificate, or any other qualification in respect of the programme of studies, is awarded and made available to students.
 - iii. No Institution Deemed to be University shall at any time enter into any franchise agreement or arrangement, either overtly or covertly, with any individual or organization for establishing, maintaining, or operating the off-Campus/off-shore campus/constituent unit(s) / constituent institution/ course/ programme of study/ department/ school/ faculty of the Institution Deemed to be University except in the following cases, namely:-
 - (a) The Institution Deemed to be University eligible under clause 7.01 may enter into an agreement or arrangement if –
 - (i) the establishment, maintenance, or operation of such institution is permitted under any Act of Parliament or the rules or regulations made thereunder; and
 - (ii) it has made an application under sub-regulation 7.02 after following the procedure specified in these regulations

The campus to be established under such agreement or arrangement shall be –

 - (A) treated as an off-campus centre of the Institution Deemed to be University as long as such agreement or arrangement remains valid under such law; and
 - (B) subject to similar standards of audit and disclosure as a not-for-profit entity as that of the Institution Deemed to be University; - (b) the Institution Deemed to be University eligible under regulation 7.01 may enter into an agreement or arrangement for practical training of students of a skill-oriented vocational course if such course is approved by the University Grants Commission or any other body established under any Act of Parliament.].
- iv. Twinning Programmes, Joint Degree Programmes, and Dual Degree Programmes shall be offered in accordance with the provisions stipulated in UGC (Academic Collaboration between Indian and Foreign Higher Educational Institutions to offer Twinning, Joint Degree and Dual Degree Programmes) Regulations, 2022 as amended from time to time.
- v. The income and property of the Institution Deemed to be University shall be utilized solely for promoting the objectives of the Institution Deemed to be University.
- vi. The Commission shall have the powers to issue directions to an Institution Deemed to be a University for implementation of any public policy of the Government or in respect of any law in force, including appropriate directions in case of any violation of any law or policy by the Institution Deemed to be University.
- vii. No portion of the income and property of the Institution Deemed to be University shall be paid or transferred directly or indirectly, by way of dividend, bonus or otherwise howsoever by way of profit to the persons who were at any time or are members of the Institution Deemed to be University or to any of them or any persons claiming through them, provided that nothing herein contained shall prevent the payment in good faith of remuneration to any member thereof or another person as consideration for any service rendered to the Institution Deemed to be University or for travelling or other allowances and such other charges.

viii. In consultation with the Commission, the Government shall have powers to impose such other condition(s), not inconsistent with these Regulations, in the Notification, and the same shall be binding on the Institution Deemed to be University.

ix. Where an Institution Deemed to be University wishes to surrender its status of 'Institution Deemed to be University, it may do so with the prior permission of the Government; and similarly, withdrawal of any Constituent Unit(s)/off-campus/off-shore campus of the Institution Deemed to be University from the purview of an Institution Deemed to be University, shall require the Sponsoring body to take the prior permission of the Government.

Provided that such surrender or withdrawal, as the case may be, shall take effect only after the last batch of students on the rolls of the Institution Deemed to be University or its Constituent Unit(s), as the case may be, have been accorded the opportunity to qualify for the completion of the programme of study and award of degree.

x. The Institution Deemed to be University shall furnish to the Central Government/Commission such returns or other information with respect to its property or activities as the Central Government/Commission may, from time to time, require, within such period as may be specified by the Central Government/Commission.

xi. Convocations of the Institution Deemed to be University for the conferring of degrees or for other purposes shall be held in such manner as may be prescribed by the Rules.

xii. The Executive Council may, by a resolution passed by a majority of not less than two-thirds of the members present and voting, withdraw a degree of academic distinction conferred on, or any certificate or diploma granted to, any person by the Institution Deemed to be University for good and sufficient cause;

Provided that no such resolution shall be passed until a notice in writing has been given to that person calling upon him/her to show cause within such time as may be specified in the notice as to why such a resolution should not be passed and until his/her objections, if any, and any evidence he/she may produce in support of them, have been considered by the Executive Council.

xiii. In the event of a conflict of opinion with regard to the interpretation of these Regulations, the opinion of the Commission shall be final.

20.0. Legal Proceedings

(1) For the purpose of the Societies Registration Act, 1860/Public Trust Act/ Companies Act, 2013, the person in whose name the Institution Deemed to be a University may sue or be sued shall be the Registrar, who shall have the power to enter into agreements, sign documents and authenticate records on behalf of the Institution Deemed to be University, and shall exercise such powers and perform such duties, as may be prescribed by these Regulations.

(2) No suit or legal proceedings shall lie against the Government or the Commission in respect of anything done or purported or intended to be done in pursuance of any of these Regulations.

21.0 Consequences of the violations of Regulations

Where an Institution Deemed to be University is found to have violated the provision(s) of these Regulations or any other applicable Regulation of the Commission, it may be subjected to one or more of the following:

- 21.01 For violation of these regulations established by the inquiry committee set up by the UGC/Government, the Institution Deemed to be University shall be warned in writing with public notice or barred from any expansion in terms of diversification to new Courses / Programmes of study / Department(s) and Off-Campus(es) / Off-Shore Campuses, for a period of three years or as recommended by the inquiry committee, whichever is higher.
- 21.02 Continuous violation of regulations established by the enquiry committee set up by the UGC/Government may result in closure of the Courses / Programmes of study / Department(s) and Off-Campus(es) / Constituent Institution(s) / Off-Shore Campuses or may result in withdrawal of the status of Institution Deemed to be University.

22. Removal of Difficulty

UGC reserves the right to remove difficulty/ies in implementing these regulations in consultation with the Government of India, Ministry of Education.